

ON APRIL 4, 2019

WORKSHOP “SUSPICIOUS TRANSACTIONS REPORTING”

RIGA BUSINESS SCHOOL,
ALUMNI AUDITORIUM, SKOLAS STREET 11, RIGA

Filing of timely and accurate Suspicious Transaction Reports (“STR”) is an important element of a financial institution’s Anti-Money Laundering (“AML”) Program. Comprehensive, substantive and meaningful STRs contain valuable information for law enforcement in investigating money laundering, fraud and corruption. Extraneous or “defensive” STRs bog down STR databases, causing inefficiency for regulators and law enforcement in analyzing important STR information. **Our experts provide practical advice for the when – and when not – to file a STR, how to document the decision-making process, what information to include in a STR and how to make STRs useful to law enforcement.**

PRESENTED BY:

ALMA ANGOTTI

Managing Director
Co-Head Global Investigations and Compliance
London/Washington, D.C.

Alma Angotti is a Managing Director and Co-Head of the Global Investigations & Compliance practice. Ms. Angotti is a recognized expert in anti-money laundering (AML), combatting the financing of terrorism (CFT) and economic sanctions compliance. She has counselled her clients in a variety of projects, and managed gap analyses, compliance program reviews, risk assessments, remediation efforts, investigations, and historical transaction reviews. Her clients include large, complex, global financial institutions, global payments institutions, mid-sized banks, broker-dealers, and Fintech and digital assets companies, hedge funds, casinos, and multinational corporations.

ANNE MARIE MINOGUE

Senior Director
Navigant Consulting’s Global Investigations & Compliance Practice
New York

Expert in indications of fraud, corruption and money laundering, she conducts investigations, assesses fraud, anti-bribery & corruption (“ABC”), & anti-money laundering risk management capabilities and reviews regulatory compliance activities.

Ms. Minogue supervised anti-money laundering reviews of banks, casinos, trusts, mutual funds, and broker-dealers, including comprehensive reviews of customer identification programs and AML transaction monitoring as well as AML gap analysis and enhancement recommendations in the areas of AML policy, procedures, due diligence protocols and monitoring for red flags of money laundering.

We invite you to a full-day, practical, hands on workshop, comprised of two sessions, led by our international experts on the key topic of Suspicious Transactions Investigations and Reporting.

Agenda

Session I	
9:00 – 9:10	Welcome, Introductions and Overview
9:10 – 9:35	Legal Basis for Investigating Red Flags of Potentially Suspicious Transactions and Recent Regulatory Actions
9:35 – 10:00	STR Safe Harbor and Confidentiality
10:00 – 10:45	Identification of Unusual Activity Interactive Working Session: Identifying Red Flags
10:45 – 11:00	Break
11:00 – 11:45	Investigating Potentially Suspicious/Illegal Transactions Documenting the STR Decision Making Process
11:45 – 12:30	STR Preparation Monitoring and STR Filing on Continuing Activity
12:30 – 13:00	How Law Enforcement Uses STRs in Investigations
13:00 – 13:15	Record Retention
13:15 – 14:00	Lunch
Session II	
14:00 – 15:15	Interactive Working Sessions: Drafting Quality STRs Drafting Investigative Plans Using STR information
15:15 – 15:30	Break
15:30 – 16:45	Interactive Working Session: Understanding the Importance of Tying the STR Process Together between the Banks and Law Enforcement

More information: Ms Elīna Logina, +371 67284528, elina.logina@financelatvia.eu

NB! At the Workshop photos and video will be taken!

Participants will get **CAMS credits** (number TBC) and a **certificate** of attendance.
Working language – **English**.